



TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE CUSTOMER NO. 22,852 (DO/EO/US) ATTORNEY'S DOCKET NUMBER: 05788.0369 CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 U.S. APPLICATION NO. if known, see 37 CFR 1.5 10/540.491 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2002/014730 December 23, 2002 TITLE OF INVENTION: OPTICAL TRANSMISSION SYSTEM USING AN OPTICAL PHASE CONJUGATION DEVICE APPLICANTS FOR DO/EO/US: 1) Paolo MINZIONI, 2) Francesco ALBERTI, and 3) Alessandro SCHIFFINI Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C 371. \boxtimes This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. is not required, as the application was filed with the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. a. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. h. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. Information Disclosure Statement under 37 CFR 1.97 and 1.98 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. 🗆 A Substitute specification. 16. 🗆 A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1,821-1,825. A second copy of the published International Application under 35 U.S.C. 154 (d)(4). 18. 🗆 A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4). 19. 20. Other items or information: Copy of cover page of International Publication No. \boxtimes Copy of Notification of Missing Requirements. Request for Two Months Extension of Time, Including a Check in the Amount of \$450.00. \boxtimes

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/540,491		INTERNATIONAL APPLICATION NO. PCT/EP2002/014730			ATTORNEY'S DOCKET NUMBER 05788.0369	
The following fees t	nave been submitted:				CALCULATIONS	PTO Use Only
21. BASIC NATIONAL FEE (37 CFR 1.492(a)):				\$	City	
22. Examination fee (37)	CFR 1.492(c))					
If the Written opinion prepared b by IPEA/US indicates all All other situations	claims satisfy provisions of PC	CT Article 33(1)-(4)	\$0		\$	
If the written opinion of the ISA/L IPEA/US indicates all clai Search fee (37 CFR 1.445(a)(2) International Searching International Search Report prepreviously communicated				\$		
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electronic medium)	e with 37 CFR 1.821(c) or (e)	or computer program listing in	sequence an			
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Surcharge of \$130.00 for furnish commencement of the national s	ning any of the search fee, exa stage (37 CFR 1.492(h)).	amination fee, or the oath or d	eclaration after the da	ate of	\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	· .		
Total Claims	- 20 :	=	x \$50.0	0	\$	
Independent Claims	-3 :	-	x \$200.0	00	\$	
MULTIPLE DEPENDENT CLAIM	M(S) (if applicable)		+\$360.0	00	\$	
		TOTA	L OF ABOVE CALC	ULATIONS =	\$130.00	
☐ Applicant claims small entity	status. See 37 CFR 1.27. Fe	ees above are reduced by 1/2.			\$	
				SUBTOTAL =	\$130.00	
Processing fee of \$130.00 for fu 1.492(i)).	rnishing the English translatio	n later than 30 months from th		e (37 CFR	\$	
			TOTAL NATI	+ ONAL FEE =	\$130.00	
Fee for recording the enclosed a cover sheet (37 CFR 3.28, 3.31)	assignment (37 CFR 1.21 (h)). . \$40.00 per property.	The assignment must be acc	companied by an app	ropriate +	\$	
200 <mark>6 GFREY1 00000071 1054</mark> 0	0491		TOTAL FEES E	NCLOSED =	\$130.00	
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	of \$ 130,00					*
A duplicate copy of this	oosit Account Nos sheet is enclosed.	in the amount of \$	·	to cover t	he above fees.	
c. The Commissioner is h No. <u>06-0916</u> . A duplic	nereby authorized to charge a cate copy of this sheet is enclo	ny additional fees which may beed.	be required, or credit	any overpaym	ent to Deposit Acco	unt
	d to a credit card. WARNING		become public. Cre	dit card infor	mation should not	be included
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on this form. Provide NOTE: Where an appropriate		95 has not been met, a petiting status.	ion to revive (37 CF	R 1.137(a) or	(b)) must be filed a	nd granted
on this form. Provide NOTE: Where an appropriate	time limit under 37 CFR 1.4 tional Application to pendin	95 has not been met, a petiting status.	ion to revive (37 CF	R 1.137(a) or	(b)) must be filed a	nd granted
on this form. Provide NOTE: Where an appropriate to restore the Interna	time limit under 37 CFR 1.4 tional Application to pendin CE TO:	signatus.		R 1.137(a) or	(b)) must be filed a	nd granted



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450

	www.uspto.gov			
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY, DOCKET NO.		
10/540,491	Paolo Minzioni	05788.0369		
	IN	TERNATIONAL APPLICATION NO.		

22852 FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413

I.A. FILING DATE PRIORITY DATE
12/23/2002

PCT/EP02/14730

CONFIRMATION NO. 3561
371 FORMALITIES LETTER
**OC000000017494440*

Date Mailed: 11/21/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/23/2005
- Copy of the International Search Report filed on 06/23/2005
- Preliminary Amendments filed on 06/23/2005
- U.S. Basic National Fees filed on 06/23/2005

The applicant needs to satisfy supplemental fees problems indicated below.

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The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

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The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

PART 1 - ATTORNEY/APPLICANT COPY

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10/540,491	PCT/EP02/14730	05788.0369

FORM PCT/DO/EO/905 (371 Formalities Notice)